

1746  
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

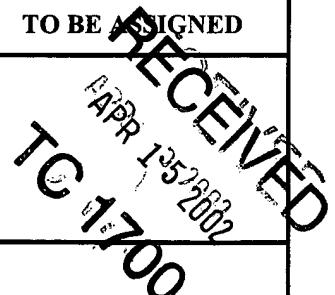
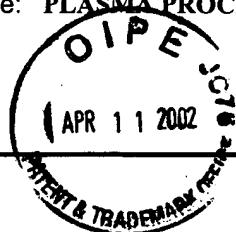
Docket No.  
WLJ.056CIP

In Re Application Of: Leslie Michael LEA et al.

#6  
8-3-02

Serial No.	Filing Date	Examiner	Group Art Unit
10/043,265	01/14/2002	TO BE ASSIGNED	TO BE ASSIGNED

Title: PLASMA PROCESSING APPARATUS



Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

- The Examiner's attention is also directed to related parent application Serial No. 09/601,958, filed 10 August 2000.

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
WLJ.056CIP

In Re Application: Leslie Michael LEA et al.

Serial No.  
10/043,265

Filing Date  
01/14/2002

Examiner  
TO BE ASSIGNED

RECEIVED  
APR 15 2002  
Group A1 Unit  
TO BE ASSIGNED  
TC 1700

PLASMA PROCESSING APPARATUS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of \_\_\_\_\_  
 Credit any overpayment.  
 Charge any additional fee required.

Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account

\_\_\_\_\_  
Signature

Dated: APRIL 11, 2002

ADAM C. VOLENTINE

REG. NO. 33289

VOLENTINE FRANCOS, PLLC  
12200 SUNRISE VALLEY DRIVE, SUITE 150  
RESTON, VA 20191

TEL. NO. (703) 715-0870

cc:

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

APR 11 2002

ATTY DOCKET NO.  
WLJ.056CIPSERIAL NO.  
10/043,265

Leslie Michael LEA et al.

FILING

01/14/02

GROUP

1746

## SEARCH &amp; TRADE PAPER PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A	4,358,686	11/9/1982	KINOSHITA			TC 1/15/2002
B	4,740,268	4/26/1988	BUKHMAN			1700
C	4,919,783	4/24/1990	ASAMAKI ET AL.			
D	5,038,013	8/6/1991	AKAZAWA ET AL.			
E	5,417,798	5/23/1995	NISHIBAYASHI ET AL.			
F	5,518,572	05/21/1996	KINOSHITA ET AL.			
G	5,527,394	6/18/1996	HEINRICH ET AL.			
H	5,556,501	9/17/1996	COLLINS ET AL.			
I	5,783,100	7/21/1998	BLALOCK ET AL.			
J	4,624,214	11/25/1986	SUZUKI ET AL.			
K	4,891,095	1/2/1990	ISHIDA ET AL.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
/ L	61-39521	2/25/1986	JAPAN				✓
/ M	1-238020	9/22/1989	JAPAN				✓
✓ N	EP 0 822 582 A2	2/4/1998	EPO			✓	
/ O	EP 0 867 913 A1	9/30/1998	EPO			✓	
/ P	EP 0 488 393 A2	6/3/1992	EPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.